

04/30/02

ATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Serial no.  
Filed  
For  
Group Art Unit  
Examiner  
Docket



Roger MASSEY  
09/585,222  
June 1, 2000  
BAR-STOCK BALL VALVE  
3754  
D. Austin Bonderer  
GEMVAL P15AUS

The Assistant Commissioner of Patents and Trademarks  
Washington, D.C. 20231

8AF  
B100  
#13  
**RECEIVED**

AUG 08 2002

**GROUP 3600**

**TRANSMITTAL OF APPEAL BRIEF  
(PATENT APPLICATION -- 37 C.F.R. § 1.192)**

1. Transmitted herewith, in triplicate, is the APPEAL BRIEF in this application, with respect to the Notice of Appeal filed on **February 1, 2002**.

NOTE: "Appellant must, within two months from the date of the notice of appeal under § 1.191 or within the time allowed for reply to the action from which the appeal was taken, if such time is later, file a brief in triplicate..." 37 C.F.R. § 1.192(a) (emphasis added).

2. **STATUS OF APPLICANT**

This application is on behalf of

☐ other than a small entity.

☒ a small entity.

A statement:

☒ is attached.

☐ was already filed.

**RECEIVED**

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***  
(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

MAY - 8 2002

TECHNOLOGY CENTER R3700

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

- ☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231  
**37 C.F.R. § 1.8(a)**

**37 C.F.R. § 1.10\***

- ☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. \_\_\_\_\_ (mandatory)

**TRANSMISSION**

- ☐ facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

  
Signature

Date: April 30, 2002

Scott A. Daniels

(type or print name of person certifying)

Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining time lines. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Transmittal of Appeal Brief [9-6.1]--page 1 of 3)

### 3. FEE FOR FILING APPEAL BRIEF

Pursuant to 37 C.F.R. § 1.17(c), the fee for filing the Appeal Brief is:

- |  |          |
|--|----------|
| <input checked="" type="checkbox"/> small entity   | \$160.00 |
| <input type="checkbox"/> other than a small entity | \$320.00 |

**Appeal Brief fee due \$ 160.00**

### 4. EXTENSION OF TERM

**NOTE:** 37 C.F.R. § 1.704(b) ". . .an applicant shall be deemed to have failed to engage in reasonable efforts to conclude processing or examination of an application for the cumulative total of any periods of time in excess of three months that are taken to reply to any notice or action by the Office making any rejection, objection, argument, or other request, measuring such three-month period from the date the notice or action was mailed or given to the applicant, in which case the period of adjustment set forth in § 1.703 shall be reduced by the number of days, if any, beginning on the day after the date that is three months after the date of mailing or transmission of the Office communication notifying the applicant of the rejection, objection, argument, or other request and ending on the date the reply was filed. The period, or shortened statutory period, for reply that is set in the Office action or notice has no effect on the three-month period set forth in this paragraph."

**NOTE:** The time periods set forth in 37 C.F.R. § 1.192(a) are subject to the provision of § 1.136 for patent applications. 37 C.F.R. § 1.191(d). See also Notice of November 5, 1985 (1060 O.G. 27).

**NOTE:** As the two-month period set in § 1.192(a) for filing an appeal brief is not subject to the six-month maximum period specified in 35 U.S.C. § 133, the period for filing an appeal brief may be extended up to seven months. 62 Fed. Reg. 53,131, at 53,156; 1203 O.G. 63, at 84 (Oct. 10, 1997).

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

(complete (a) or (b), as applicable)

- (a) ☐ Applicant petitions for an extension of time under 37 C.F.R. § 1.136 (fees: 37 C.F.R. § 1.17(a)(1)-(5)) for the total number of months checked below:

Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 400.00	\$ 200.00
<input type="checkbox"/> three months	\$ 920.00	\$ 460.00
<input type="checkbox"/> four months	\$ 1,440.00	\$ 720.00
<input type="checkbox"/> five months	\$ 1,960.00	\$ 980.00

Fee: \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ months has already been secured, and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

**Extension fee due with this request \$ \_\_\_\_\_**

or

- (b) ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

(Transmittal of Appeal Brief [9-6.1]—page 2 of 3)

## 5. TOTAL FEE DUE

The total fee due is:

Appeal brief fee \$ 160.00

Extension fee (if any) \$ \_\_\_\_\_

TOTAL FEE DUE \$ 160.00

## 6. FEE PAYMENT

☒ Attached is a ☒ check ☐ money order in the amount of \$ 160.00☒ Authorization is hereby made to charge the amount of \$ \_\_\_\_\_☒ to Deposit Account No. 04-0213☐ to Credit card as shown on the attached credit card information authorization form PTO-2038.**WARNING:** Credit card information should **not** be included on this form as it may become public.☐ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

## 7. FEE DEFICIENCY

**NOTE:** If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to change the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, 1065 O.G. 31-33.

☐ If any additional extension and/or fee is required,

AND/OR

☒ If any additional fee for claims is required, charge:☒ Deposit Account No. 04-0213☐ Credit card as shown on the attached credit card information authorization form PTO-2038.**WARNING:** Credit card information should **not** be included on this form as it may become public.

Date: April 30, 2002

Reg. No.: 42,462

Customer No.: PATENT &amp; TRADEMARK OFFICE



020210

SIGNATURE OF PRACTITIONER

Scott A. Daniels

(type or print name of practitioner)

DAVIS &amp; BUJOLD, P.L.L.C.

FOURTH FLOOR

P.O. Address

500 NO. COMMERCIAL STREET

Manchester, NH 03101-1151

(Transmittal of Appeal Brief [9-6.1]—page 3 of 3)